

Our Reference: GMD/AME/CA/CF/MTA/MDS/21/15

1 April 2021

Ms Katarzyna Wawiernia
Resident Representative
UNDP
Avenia das Nações Unidas
BP 109
Sao Tome
Sao Tome and Principe

Grant Name: STP-Z-UNDP
Principal Recipient: United Nations Development Programme
Implementation Letter Number: 4¹
Approval of the Grant Closure Planning Documents

UNOFFICIAL SUMMARY

This letter approves the Grant Closure Plan and Grant Closure Budget submitted by the Principal Recipient on 27 January 2021, and the List of Program Assets and Receivables and Transfer Plan submitted by the Principal Recipient on 8 March 2021 (the “Grant Closure Planning Documents”) subject to the exceptions and conditions described below. It also sets out the terms and conditions governing the Grant’s closure and the use of Grant Funds by the Principal Recipient and its Sub-recipients.

Dear Ms Wawiernia,

Reference is made to the Grant Confirmation dated 19 December 2017 (as amended from time to time, the “Grant Confirmation”) between the Global Fund to Fight AIDS, Tuberculosis and Malaria (the “Global Fund”) and United Nations Development Programme (the “Principal Recipient” or the “Grantee”) for grant STP-Z-UNDP (the “Grant”).

Unless defined in this Implementation Letter or the context otherwise requires, all capitalized terms used in this Implementation Letter shall have the same meaning as set out in the Grant Confirmation.

This letter sets out the terms and conditions governing the closure of the Grant, and the use of Grant Funds by the Principal Recipient and its Sub-recipients.

We have reviewed and approve the Grant Closure Planning Documents with the exceptions and/or special conditions contained in the table below, as appended at Attachments 1 – 3 of this Implementation Letter.

¹ This Grant Agreement was changed before by letters dated 10 October 2019, 5 March 2020 and 27 October 2020.

	Approved Parts of the Grant Closure Plan	Exceptions/Special Conditions
1.	Grant Closure Plan	N/A
2.	Grant Closure Budget	N/A
3.	List of Program Assets and Receivables and Transfer Plan	<p>The Principal Recipient is requested to collaborate with the incoming principal recipient (“MOH”) regarding the disposal of expired Health Products.</p> <p>The Principal Recipient shall ensure existing warranties for two GeneXpert Machines (Serial Numbers 812393 and 819720) are transferred to the MOH.</p>

By signing this letter, the Global Fund and the Principal Recipient agree as follows:

1. The Principal Recipient shall implement the activities described in the Grant Closure Plan, in accordance with the Grant Closure Budget, by 30 June 2021.
2. The Principal Recipient shall not, and shall cause its Sub-recipients not to, spend Grant Funds or commit any Grant Funds for expenditure after 30 June 2021.
3. Subject to the terms of this letter, the Principal Recipient shall ensure that all of the items provided in the List of Program Assets and Receivables are used, transferred and/or disposed of in accordance with the Transfer Plan by 30 June 2021.
4. By 30 June 2021, the Principal Recipient shall submit to the Global Fund the Certified Financial Report for the Grant which shall cover the last year of the Implementation Period (including any extensions). By 31 July 2021, the Principal Recipient shall submit to the Global Fund the Final Tax Report for the Grant which shall cover the final year of the Implementation Period (including any extensions).
5. By 15 August 2021 the Principal Recipient shall submit to the Global Fund the Financial Closure Report which shall include the following:
 - a. The financial reconciliation of the cash balance as at the end of the Implementation Period (including any extensions);
 - b. The expenditure report covering the period from the last submitted expenditure report up to the end of the Closure Period; and
 - c. An updated list of the outstanding financial commitments and financial obligations as at the Implementation Period end date.
6. The Principal Recipient shall refund any Grant Funds that have not been spent in accordance with the Grant Agreement and the terms of this letter by no later than 31 August 2021, as verified by the Local Fund Agent. The refund is to be paid into the following account:

Beneficiary Name: The Global Fund to Fight AIDS, Tuberculosis and Malaria

Currency: EUR

Bank Name: UBS SA

Bank Code/Clearing: 00240

IBAN: CH890024024016820270R

BIC / SWIFT Code: UBSWCHZH8oA

Branch Address:
UBS SA
Case Postal,
1211 Genève 2
Switzerland

The instructions accompanying the payment should clearly indicate: (i) the Grant number; and (ii) the reason for payment.

This does not limit the Global Fund's right to request a further refund under Article 8 of the UNDP-Global Fund Grant Regulations.

7. The Global Fund will not disburse any Grant Funds to the Principal Recipient after the end of the Closure Period.
8. In the event of any inconsistency between the terms of this letter and those of the Grant Agreement, the terms of this letter shall prevail.

We remind you that you are required to maintain Program Books and Records in accordance with Article 7 of the UNDP-Global Fund Grant Regulations for the period required under the regulations, rules, policies and procedures of the Principal Recipient which currently provide for the retention of Program Books and Records for seven years after the document date.

Other than to the extent modified by this Implementation Letter, all provisions of the Grant Agreement (as amended on 10 October 2019, 5 March 2020 and 27 October 2020) remain the same and continue to have full force and effect.

Please confirm your agreement to these amendments by signing this letter and returning a copy to the Global Fund by electronic messaging system. This letter will take effect upon the signing by an authorized representative of the Global Fund Finance Division and a fully executed electronic copy of this letter will be sent to you for your records.

Thank you for your support to this Program and your efforts in the global fight against HIV/AIDS, tuberculosis and malaria.

Yours sincerely,



Katy Fall
Regional Manager
Central Africa

Agreed and signed:

United Nations Development Programme

By: _____

Name: Katarzyna Wawiernia
Title: Resident Representative

Date:

Encl.: Attachment 1: Global Fund approved Grant Closure Plan
Attachment 2: Global Fund approved Grant Closure Budget
Attachment 3: Global Fund approved List of Program Assets and Receivables and Transfer Plan

cc: H. E. Edgar Agostinho Das Neves, CCM Chair
Luis Segura, Local Fund Agent

Signed by an authorized representative of the Global Fund Finance Division for the recognition of this agreement by the Global Fund.

By: _____

Name: Shevone Corbin

Title: Manager, Portfolio Services & Knowledge Management
Program Finance & Controlling Department

Date: